

2013

California Exempt Organization Annual Information Return

199

Calendar Year 2013 or fiscal year beginning (mm/dd/yyyy) **07/01/2013**, and ending (mm/dd/yyyy) **06/30/2014**

Corporation/Organization Name CAL POLY CORPORATION		California corporation number 0183601	
Address (suite, room, or PMB no.) CORPORATION ADMIN BUILDING 15		FEIN 95-1648180	
City SAN LUIS OBISPO	State CA	ZIP Code 93407	

A First Return Yes No

B Amended Information Return Yes No

C IRC Section 4947(a)(1) trust Yes No

D Final Information Return?
 Dissolved Surrendered (Withdrawn)
 Merged/Reorganized Enter date: (mm/dd/yyyy) _____

E Check accounting method:
 (1) Cash (2) Accrual (3) Other

F Federal return filed?
 (1) 990T (2) 990 PF (3) Sch H (990)

G Is this a group filing for the subordinates/affiliates? Yes No
 If "Yes," attach a roster. See instructions

H Is this organization in a group exemption? Yes No
 If "Yes," what is the parent's name? _____

I Did the organization have any changes in its activities, governing instrument, articles of incorporation, or bylaws that have not been reported to the Franchise Tax Board? Yes No
 If "Yes," explain, and attach copies of revised documents.

J If exempt under R&TC Section 23701d, has the organization during the year: (1) participated in any political campaign, or (2) attempted to influence legislation or any ballot measure, or (3) made an election under R&TC Section 23704.5 (relating to lobbying by public charities)? Yes No
 If "Yes," complete and attach form FTB 3509.

K Is the organization exempt under R&TC Section 23701g? Yes No
 If "Yes," enter the gross receipts from nonmember sources \$ _____

L If organization is exempt under R&TC Section 23701d and is exclusively religious, educational, or charitable, and is supported primarily (50% or more) by public contributions, check box. No filing fee is required.

M Is the organization a Limited Liability Company? Yes No

N Did the organization file Form 100 or Form 109 to report taxable income? Yes No

O Is the organization under audit by the IRS or has the IRS audited in a prior year? Yes No

Part I Complete Part I unless not required to file this form. See General Instructions B and C.

Receipts and Revenues	1	Gross sales or receipts from other sources. From Side 2, Part II, line 8	1	97,867,400.00
	2	Gross dues and assessments from members and affiliates	2	00
	3	Gross contributions, gifts, grants, and similar amounts received STMT 1	3	5,730,085.00
	4	Total gross receipts for filing requirement test. Add line 1 through line 3. This line must be completed. If the result is less than \$50,000, see General Instruction B	4	103597485.00
	5	Cost of goods sold STMT 2	5	19,554,772.00
	6	Cost or other basis, and sales expenses of assets sold	6	13,319,037.00
	7	Total costs. Add line 5 and line 6	7	32,873,809.00
	8	Total gross income. Subtract line 7 from line 4	8	70,723,676.00
Expenses	9	Total expenses and disbursements. From Side 2, Part II, line 18	9	61,031,963.00
	10	Excess of receipts over expenses and disbursements. Subtract line 9 from line 8	10	9,691,713.00
Filing Fee	11	Filing fee \$10 or \$25. See General Instruction F	11	N/A 00
	12	Total payments	12	00
	13	Penalties and Interest. See General Instruction J	13	00
	14	Use tax. See General Instruction K	14	00
	15	Balance due. Add line 11, line 13, and line 14. Then subtract line 12 from the result	15	00

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer	Title INTERIM EXECUT	Date	<input type="checkbox"/> Telephone <input type="checkbox"/> PTIN <input type="checkbox"/> FEIN <input type="checkbox"/> Telephone
Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	P01023187
Firm's name (or yours, if self-employed) and address GLENN BURDETTE 1150 PALM STREET SAN LUIS OBISPO, CA 93401			95-2772601 805-544-1441

May the FTB discuss this return with the preparer shown above? See instructions Yes No

Part II Organizations with gross receipts of more than \$50,000 and private foundations regardless of amount of gross receipts - complete Part II or furnish substitute information.

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Receipts from Other Sources	1	Gross sales or receipts from all business activities. See instructions	•	1	48,980,361.00	
	2	Interest	•	2	00	
	3	Dividends	•	3	1,043,109.00	
	4	Gross rents	•	4	416,350.00	
	5	Gross royalties	•	5	00	
	6	Gross amount received from sale of assets (See Instructions) STATEMENT 3	•	6	14,694,374.00	
	7	Other income SEE STATEMENT 4	•	7	32,733,206.00	
	8	Total gross sales or receipts from other sources. Add line 1 through line 7. Enter here and on Side 1, Part I, line 1	•	8	97,867,400.00	
	9	Contributions, gifts, grants, and similar amounts paid STATEMENT 6 STATEMENT 5	•	9	6,373,815.00	
	10	Disbursements to or for members	•	10	00	
	11	Compensation of officers, directors, and trustees SEE STATEMENT 7	•	11	190,575.00	
	12	Other salaries and wages	•	12	21,587,310.00	
	Expenses and Disbursements	13	Interest	•	13	100.00
		14	Taxes	•	14	2,791,398.00
		15	Rents	•	15	1,908,952.00
		16	Depreciation and depletion (See instructions)	•	16	1,935,411.00
		17	Other Expenses and Disbursements SEE STATEMENT 8	•	17	26,244,402.00
		18	Total expenses and disbursements. Add line 9 through line 17. Enter here and on Side 1, Part I, line 9	•	18	61,031,963.00

Schedule L Balance Sheets	Beginning of taxable year		End of taxable year	
	(a)	(b)	(c)	(d)
Assets				
1 Cash		15,380,211.		• 17,212,274.
2 Net accounts receivable		2,825,984.		• 2,220,181.
3 Net notes receivable STMT 9		415,532.		•
4 Inventories		4,138,605.		• 3,319,062.
5 Federal and state government obligations				•
6 Investments in other bonds				•
7 Investments in stock STMT 10		73,419,964.		• 64,646,932.
8 Mortgage loans				•
9 Other investments STMT 11		6,363,606.		• 11,576,480.
10 a Depreciable assets	42,316,119.		42,442,760.	
b Less accumulated depreciation	(18,842,641.)	23,473,478.	(20,261,275.)	22,181,485.
11 Land		980,000.		• 2,880,000.
12 Other assets STMT 12		9,807,898.		• 15,652,046.
13 Total assets		136,805,278.		139,688,460.
Liabilities and net worth				
14 Accounts payable		4,600,510.		• 3,487,159.
15 Contributions, gifts, or grants payable				•
16 Bonds and notes payable				•
17 Mortgages payable		2,690,000.		• 2,625,000.
18 Other liabilities		28,671,376.		18,279,008.
19 Capital stock or principle fund				•
20 Paid-in or capital surplus. Attach reconciliation				•
21 Retained earnings or income fund		100,843,392.		• 115,297,293.
22 Total liabilities and net worth		136,805,278.		139,688,460.

Schedule M-1 Reconciliation of income per books with income per return			
Do not complete this schedule if the amount on Schedule L, line 13, column (d), is less than \$50,000.			
1 Net income per books	• 14,453,901.	7 Income recorded on books this year not included in this return. STMT 13	• 4,762,188.
2 Federal income tax	•	8 Deductions in this return not charged against book income this year	•
3 Excess of capital losses over capital gains	•	9 Total. Add line 7 and line 8	4,762,188.
4 Income not recorded on books this year	•	10 Net income per return.	
5 Expenses recorded on books this year not deducted in this return	•	Subtract line 9 from line 6	9,691,713.
6 Total. Add line 1 through line 5	14,453,901.		

FORM 199

CASH CONTRIBUTIONS OF \$5000 OR MORE
INCLUDED ON PART I, LINE 3

STATEMENT 1

<u>CONTRIBUTOR'S NAME</u>	<u>CONTRIBUTOR'S ADDRESS</u>	<u>DATE OF GIFT</u>	<u>AMOUNT</u>
ALFRED P. SLOAN FOUNDATION	630 5TH AVE STE 2550 NEW YORK, NY 10111	06/30/14	130,588.
NOYCE FOUNDATION	419 S ANTONIO ROAD, STE 213 LOS ALTOS, CA 94022	06/30/14	190,000.
CAL POLY FOUNDATION	ONE GRAND AVENUE HERON HALL RM 103 SAN LUIS OBISPO, CA 93407	06/30/14	1,412,182.
TOTAL INCLUDED ON LINE 3			<u>1,732,770.</u>

FORM 199

COST OF GOODS SOLD
INCLUDED ON PART I, LINE 5

STATEMENT 2

COST OF GOODS SOLD

1.	INVENTORY AT BEGINNING OF YEAR		4,138,605
2.	MERCHANDISE PURCHASED.		
3.	COST OF LABOR.		
4.	MATERIALS AND SUPPLIES	18,735,229	
5.	OTHER COSTS.		
6.	ADD LINES 1 THROUGH 5		22,873,834
7.	INVENTORY AT END OF YEAR		3,319,062
8.	COST OF GOODS SOLD (LINE 6 LESS LINE 7) . .		19,554,772

FORM 199

CASH CONTRIBUTIONS, GIFTS, GRANTS
AND SIMILAR AMOUNTS PAID

STATEMENT 5

ACTIVITY CLASSIFICATION: STUDENT GRANTS & SCHOLARSHIPS

DONEES NAME	DONEES ADDRESS	RELATIONSHIP	AMOUNT
CALIFORNIA POLYTECHNIC STATE UNIVERSITY	ONE GRAND AVENUE - SAN LUIS OBISPO, CA 93407	RELATED TAX-EXEMPT ENTITY	453,634.

TOTAL FOR THIS ACTIVITY 453,634.

ACTIVITY CLASSIFICATION: SUPPORT OF UNIVERSITY

DONEES NAME	DONEES ADDRESS	RELATIONSHIP	AMOUNT
CALIFORNIA POLYTECHNIC STATE UNIVERSITY	ONE GRAND AVENUE - SAN LUIS OBISPO, CA 93407	RELATED TAX-EXEMPT ENTITY	1,222,677.

TOTAL FOR THIS ACTIVITY 1,222,677.

ACTIVITY CLASSIFICATION: SUBAWARDS UNDER SPONSORED PROGRAMS GRANTS

DONEES NAME	DONEES ADDRESS	RELATIONSHIP	AMOUNT
SRI INTERNATIONAL	333 RAVENSWOOD AVENUE - MENLO PARK, CA 94025	NONE	1,077,788.

DONEES NAME	DONEES ADDRESS	RELATIONSHIP	AMOUNT
PHOENIX INTEGRATION	1715 PRATT DRIVE, STE 2000 - BLACKSBURG, VA 24060	NONE	68,554.

DONEES NAME	DONEES ADDRESS	RELATIONSHIP	AMOUNT
THE MIRIAM HOSPITAL	164 SUMMIT AVENUE - PROVIDENCE, RI 02906	NONE	456,075.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
SAN FRANCISCO STATE UNIVERSITY	1600 HOLLOWAY AVE - SAN FRANCISCO, CA 94132	NONE	12,425.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
NATIONAL INSTITUTE OF STANDARDS & TECHNO	100 BUREAU DRIVE, STOP 1070 - GAITHERSBURG, MD 20899	NONE	1,124.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
PROVOST & PRITCHARD	286 WEST CROMWELL AVENUE - FRESNO, CA 93711	NONE	152,576.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
OREGON STATE UNIVERSITY	B308 KERR ADMIN BLDG - CORVALLIS, OR 97331	NONE	3,493.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
UC SANTA CRUZ	1156 HIGH STREET - SANTA CRUZ, CA 95064	NONE	23,588.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
UNIVERSITY OF UTAH	75 SOUTH 20000 EAST - SALT LAKE CITY, UT 84112	NONE	30,479.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
CSU, CHICO	CSU CHICO FOUNDATION - CHICO, CA 95929	NONE	26,125.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
HARVEY MUDD COLLEGE	301 PLATT BLVD - CLAREMONT, CA 91711	NONE	193.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
CSU, POMONA	3801 WEST TEMPLE - POMONA, CA 91768	NONE	42,351.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
CSU, BAKERSFIELD	9001 STOCKDALE HWY - BAKERSFIELD, CA 93311	NONE	5,540.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
J.R. GLOUDEMANS	2309 CLIPPER STREET - SAN MATEO, CA 94403	NONE	134,741.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
SANTA BARBARA COUNTY EDUCATION OFFICE	4400 CATHEDRAL OAKS RD - SANTA BARBARA, CA 93110	NONE	800.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
ENVIRONMENTAL RESOURCES SOLUTIONS	2300 NORTHPOINT PKWY - SANTA ROSA, CA 95407	NONE	2,500.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
TRANSITIONS MENTAL HEALTH	784 HIGH ST - SAN LUIS OBISPO, CA 93406	NONE	2,340.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
CSU, FRESNO FOUNDATION	4910 N CHESTNUT AVENUE - FRESNO , CA 93726	NONE	23,039.
<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
KENYON COLLEGE	FACULTY GRANTS & FELLOWSHIPS EDELSTEIN HOUSE - GAMBIER , OH 43022	NONE	15,907.
<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
PENNINGTON BIOMEDICAL RESEARCH CENTER	6400 PERKINS ROAD - BATON ROUGE, LA 70808	NONE	58,770.
<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
REGENTS OF THE UNIVERSITY OF CA, BERKELE	2150 SHATTUCK AVENUE STE 313 - BERKELEY, CA 94704	NONE	88,619.
<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
TYVAK	15265 ALTON PARKWAY, STE 200 - IRVINE, CA 92318	NONE	260,138.
<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
UC, RIVERSIDE	200 UNIVERSITY OFFICE BLDG - RIVERSIDE , CA 92521	NONE	25,643.
<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
UC, IRVINE	5171 CALIFORNIA AVENUE, STE 150 - IRVINE, CA 92697	NONE	44,000.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
UNIVERSITY OF DELAWARE	210 HULIHEN HALL - NEWARK, DE 19716	NONE	23,313.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
UNIVERSITY OF ILLINOIS, CHICAGO	1737 WEST POLK STREET - CHICAGO, IL 60612	NONE	37,296.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
UNIVERSITY OF NORTH CAROLINA	104 AIRPORT DRIVE STE 2200 - CHAPEL HILL, NC 27599	NONE	98,054.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
CSU, SACRAMENTO	6000 J ST - SACRAMENTO, CA 95819	NONE	3,562.

TOTAL FOR THIS ACTIVITY 2,719,033.

ACTIVITY CLASSIFICATION: SUPPORT OF UNIVERSITY AUXILIARIES

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
CAL POLY STATE UNIVERSITY FOUNDATION	ONE GRAND AVENUE HERON HALL STE 103 - SAN LUIS OBISPO, CA 93407	CPF IS A SISTER AUXILIARY TO CPSU	722,812.

TOTAL FOR THIS ACTIVITY 722,812.

TOTAL INCLUDED ON FORM 199, PART II, LINE 9 5,118,156.

MR. THOMAS LEBENS, ESQUIRE CORPORATION ADMIN BUILDING 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
MR. JAMES LOKEY CORPORATION ADMIN BUILDING 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
MR. CYRUS RAMEZANI CORPORATION ADMIN BUILDING 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
MS. DEBORAH READ CORPORATION ADMIN BUILDING 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
MS. KIM SHOLLENBERGER CORPORATION ADMIN BUILDING 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
MS. KAREN WEBB CORPORATION ADMIN BUILDING 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
NATHAN HONEYCUT CORPORATION ADMIN BUILDING 15 SAN LUIS OBISPO, CA 93407	STUDENT DIRECTOR 4.00	0.
CHRISTOPHER NIELSEN CORPORATION ADMIN BUILDING 15 SAN LUIS OBISPO, CA 93407	STUDENT DIRECTOR 4.00	0.
BONNIE MURPHY CORPORATION ADMIN BUILDING 15 SAN LUIS OBISPO, CA 93407	EXECUTIVE DIRECTOR 40.00	190,575.
TOTAL TO FORM 199, PART II, LINE 11		<u>190,575.</u>

FORM 199	OTHER EXPENSES	STATEMENT	8
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DESCRIPTION	AMOUNT
SUPPLIES & EQUIPMENT	3,304,998.
CONTRACT & GRANT IDC EX	2,653,515.
LIVESTOCK EXPENSE	1,572,246.
GENERAL EQUIPMENT MAINT	565,567.
OPERATING EXPENSES	40,917.
DEPRECIATION	167,555.
INTEREST	73,003.
OPERATING EXPENSES	36,052.

DEPRECIATION	147,635.
INTEREST	64,324.
OTHER EXPENSE	342.
OTHER EXPENSE	302.
DIRECT EXPENSES OF FUNDRAISING EVENTS	234,468.
PENSION PLAN CONTRIBUTIONS	1,058,519.
OTHER EMPLOYEE BENEFITS	4,480,279.
MANAGEMENT FEES	72,234.
LEGAL FEES	544.
ACCOUNTING FEES	146,673.
PROFESSIONAL FUNDRAISING FEES	132,933.
INVESTMENT MANAGEMENT FEES	148,012.
OTHER PROFESSIONAL FEES	3,964,933.
ADVERTISING AND PROMOTION	574,374.
OFFICE EXPENSES	351,233.
INFORMATION TECHNOLOGY	314,613.
ROYALTIES	384,261.
TRAVEL	1,488,819.
CONFERENCES AND CONVENTIONS	125,543.
INSURANCE	285,823.
ALL OTHER EXPENSES	3,854,685.
TOTAL TO FORM 199, PART II, LINE 17	<u>26,244,402.</u>

FORM 199	NET NOTES RECEIVABLE	STATEMENT	9
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DESCRIPTION	BEG. OF YEAR	END OF YEAR
NOTES AND LOANS RECEIVABLE, NET	415,532.	0.
TOTAL TO FORM 199, SCHEDULE L, LINE 3	<u>415,532.</u>	<u>0.</u>

FORM 199	INVESTMENTS IN STOCK	STATEMENT	10
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DESCRIPTION	BEG. OF YEAR	END OF YEAR
PUBLICLY TRADED SECURITIES	73,419,964.	64,646,932.
TOTAL TO FORM 199, SCHEDULE L, LINE 7	<u>73,419,964.</u>	<u>64,646,932.</u>

FORM 199	OTHER INVESTMENTS	STATEMENT	11
DESCRIPTION	BEG. OF YEAR	END OF YEAR	
ALTERNATIVE INVESTMENTS & OTHER CD'S	1,380,330.	1,077,011.	
	4,983,276.	10,499,469.	
TOTAL TO FORM 199, SCHEDULE L, LINE 9	6,363,606.	11,576,480.	

FORM 199	OTHER ASSETS	STATEMENT	12
DESCRIPTION	BEG. OF YEAR	END OF YEAR	
PLEDGES AND GRANTS RECEIVABLE	8,732,715.	10,526,568.	
PREPAID EXPENSES AND DEFERRED CHARGES	437,183.	401,933.	
OTHER ASSETS	638,000.	638,000.	
OTHER POSTEMPLOYMENT BENEFIT ASSET	0.	4,085,545.	
TOTAL TO FORM 199, SCHEDULE L, LINE 12	9,807,898.	15,652,046.	

FORM 199	INCOME RECORDED ON BOOKS THIS YEAR NOT INCLUDED IN THIS RETURN	STATEMENT	13
DESCRIPTION		AMOUNT	
NET UNREALIZED GAINS/LOSSES ON INVESTMENTS		4,568,340.	
CHANGE IN VALUE OF SPLIT INTEREST AGREEMENTS		193,848.	
TOTAL TO FORM 199, SCHEDULE M-1, LINE 7		4,762,188.	