

2016

California Exempt Organization Annual Information Return

199

Calendar Year 2016 or fiscal year beginning (mm/dd/yyyy) **07/01/2016**, and ending (mm/dd/yyyy) **06/30/2017**

Corporation/Organization name CAL POLY CORPORATION		California corporation number 0183601	
Additional information. See instructions.		FEIN 95-1648180	
Street address (suite or room) 1 GRAND AVE BLDG 15		PMB no.	
City SAN LUIS OBISPO		State CA	ZIP code 93407
Foreign country name		Foreign province/state/country	
		Foreign postal code	

<p>A First Return <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>B Amended Return <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>C IRC Section 4947(a)(1) trust <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>D Final Information Return? <input type="checkbox"/> Dissolved <input type="checkbox"/> Surrendered (Withdrawn) <input type="checkbox"/> Merged/Reorganized Enter date: (mm/dd/yyyy)</p> <p>E Check accounting method: (1) <input type="checkbox"/> Cash (2) <input checked="" type="checkbox"/> Accrual (3) <input type="checkbox"/> Other</p> <p>F Federal return filed? (1) <input checked="" type="checkbox"/> 990T (2) <input type="checkbox"/> 990-PF (3) <input type="checkbox"/> Sch H (990) (4) <input checked="" type="checkbox"/> Other 990 series</p> <p>G Is this a group filing? See instructions <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>H Is this organization in a group exemption <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," what is the parent's name?</p> <p>I Did the organization have any changes to its guidelines not reported to the FTB? See instructions <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>J If exempt under R&TC Section 23701d, has the organization engaged in political activities? See instructions. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>K Is the organization exempt under R&TC Section 23701g? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," enter the gross receipts from nonmember sources \$ _____</p> <p>L If organization is exempt under R&TC Section 23701d and meets the filing fee exception, check box. No filing fee is required. <input checked="" type="checkbox"/></p> <p>M Is the organization a Limited Liability Company? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>N Did the organization file Form 100 or Form 109 to report taxable income? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>O Is the organization under audit by the IRS or has the IRS audited in a prior year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>P Is a federal Form 1023/1024 pending? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Date filed with IRS _____</p>
--	---

Part I Complete Part I unless not required to file this form. See General Instructions B and C.

Receipts and Revenues	1	Gross sales or receipts from other sources. From Side 2, Part II, line 8	1	94,040,680.00
	2	Gross dues and assessments from members and affiliates	2	00
	3	Gross contributions, gifts, grants, and similar amounts received STMT 1	3	8,942,244.00
	4	Total gross receipts for filing requirement test. Add line 1 through line 3. This line must be completed. If the result is less than \$50,000, see General Instruction B	4	102982924.00
	5	Cost of goods sold STMT 2	5	20,603,364.00
	6	Cost or other basis, and sales expenses of assets sold	6	5,131,024.00
	7	Total costs. Add line 5 and line 6	7	25,734,388.00
	8	Total gross income. Subtract line 7 from line 4	8	77,248,536.00
Expenses	9	Total expenses and disbursements. From Side 2, Part II, line 18	9	71,628,850.00
	10	Excess of receipts over expenses and disbursements. Subtract line 9 from line 8	10	5,619,686.00
Filing Fee	11	Total payments	11	00
	12	Use tax. See General Instruction K	12	00
	13	Payment balance. If line 11 is more than line 12, subtract line 12 from line 11	13	00
	14	Use tax balance. If line 12 is more than line 11, subtract line 11 from line 12	14	00
	15	Filing fee \$10 or \$25. See General Instruction F	15	N/A 00
	16	Penalties and Interest. See General Instruction J	16	00
	17	Balance due. Add line 12, line 15, and line 16. Then subtract line 11 from the result	17	00

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Title EXECUTIVE DIRE	Date	• Telephone
Paid Preparer's Use Only	Preparer's signature	Date 04/24/18	Check if self-employed <input type="checkbox"/>	• PTIN P01023187
	Firm's name (or yours, if self-employed) and address GLENN BURDETTE 1150 PALM STREET SAN LUIS OBISPO, CA 93401			• FEIN 95-2772601
				• Telephone 805-544-1441

May the FTB discuss this return with the preparer shown above? See instructions Yes No

Part II Organizations with gross receipts of more than \$50,000 and private foundations regardless of amount of gross receipts - complete Part II or furnish substitute information.

628951 11-30-16

Receipts from Other Sources	1	Gross sales or receipts from all business activities. See instructions	•	1	48,080,663.00	
	2	Interest	•	2	00	
	3	Dividends	•	3	1,052,099.00	
	4	Gross rents	•	4	590,472.00	
	5	Gross royalties	•	5	00	
	6	Gross amount received from sale of assets (See Instructions) STATEMENT 3	•	6	4,949,570.00	
	7	Other income SEE STATEMENT 4	•	7	39,367,876.00	
	8	Total gross sales or receipts from other sources. Add line 1 through line 7. Enter here and on Side 1, Part I, line 1	•	8	94,040,680.00	
	9	Contributions, gifts, grants, and similar amounts paid STATEMENT 6 STATEMENT 5	•	9	5,333,852.00	
	10	Disbursements to or for members	•	10	00	
	11	Compensation of officers, directors, and trustees SEE STATEMENT 7	•	11	272,123.00	
	12	Other salaries and wages	•	12	27,036,657.00	
	Expenses and Disbursements	13	Interest	•	13	00
		14	Taxes	•	14	2,085,015.00
		15	Rents	•	15	2,120,513.00
		16	Depreciation and depletion (See instructions)	•	16	1,538,720.00
		17	Other Expenses and Disbursements SEE STATEMENT 8	•	17	33,241,970.00
		18	Total expenses and disbursements. Add line 9 through line 17. Enter here and on Side 1, Part I, line 9	•	18	71,628,850.00

Schedule L Balance Sheet	Beginning of taxable year		End of taxable year	
	(a)	(b)	(c)	(d)
Assets				
1 Cash		22,149,176.		• 30,284,485.
2 Net accounts receivable		2,073,566.		• 1,512,602.
3 Net notes receivable				•
4 Inventories		3,674,780.		• 2,517,974.
5 Federal and state government obligations				•
6 Investments in other bonds				•
7 Investments in stock				•
8 Mortgage loans				•
9 Other investments STMT 9		71,714,562.		• 75,844,797.
10 a Depreciable assets	56,036,475.		56,772,965.	
b Less accumulated depreciation	(20,341,132.)	35,695,343.	(20,892,036.)	35,880,929.
11 Land		980,000.		• 980,000.
12 Other assets STMT 10		15,960,579.		• 16,018,600.
13 Total assets		152,248,006.		163,039,387.
Liabilities and net worth				
14 Accounts payable		4,208,371.		• 5,251,921.
15 Contributions, gifts, or grants payable				•
16 Bonds and notes payable				•
17 Mortgages payable		2,780,719.		• 2,681,687.
18 Other liabilities STMT 11		35,946,225.		36,219,339.
19 Capital stock or principal fund				•
20 Paid-in or capital surplus. Attach reconciliation				•
21 Retained earnings or income fund		109,312,691.		• 118,886,440.
22 Total liabilities and net worth		152,248,006.		163,039,387.

Schedule M-1 Reconciliation of income per books with income per return

Do not complete this schedule if the amount on Schedule L, line 13, column (d), is less than \$50,000.

1 Net income per books	• 9,573,748.	7 Income recorded on books this year not included in this return. STMT 12	• 3,954,062.
2 Federal income tax	•	8 Deductions in this return not charged against book income this year	•
3 Excess of capital losses over capital gains	•	9 Total. Add line 7 and line 8	3,954,062.
4 Income not recorded on books this year	•	10 Net income per return.	
5 Expenses recorded on books this year not deducted in this return	•	Subtract line 9 from line 6	5,619,686.
6 Total. Add line 1 through line 5	9,573,748.		

FORM 199

CASH CONTRIBUTIONS
INCLUDED ON PART I, LINE 3

STATEMENT 1

<u>CONTRIBUTOR'S NAME</u>	<u>CONTRIBUTOR'S ADDRESS</u>	<u>DATE OF GIFT</u>	<u>AMOUNT</u>
CAL POLY FOUNDATION	ONE GRAND AVENUE HERON HALL RM 103 SAN LUIS OBISPO, CA 93407	06/30/17	3,427,247.
LAURA AND JOHN ARNOLD FOUNDATION	1717 WEST LOOP S HOUSTON, TX 77027	06/30/17	778,187.
CALIFORNIA STRAWBERRY COMMISSION	PO BOX 269 WATSONVILLE, CA 95077	06/30/17	643,276.
STEPHEN D BECHTEL JR FOUNDATION	PO BOX 193809 SAN FRANCISCO, CA 94119	06/30/17	600,000.
JAMES A NEWKIRK, JR.	245 CRESCENT BAY DRIVE LAGUNA BEACH, CA 92651	06/30/17	350,000.
MR. RICHARD DOERR	1570 VIVIAN LANE INCLINE VILLAGE, NV 89451	06/30/17	333,333.
CALIFORNIA REFORM INSTITUTE	1530 BROAD STREET SAN LUIS OBISPO, CA 93401	06/30/17	325,000.
RITA ALLEN FOUNDATION	92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08542	06/30/17	200,000.
TOTAL INCLUDED ON LINE 3			<u>6,657,043.</u>

FORM 199

COST OF GOODS SOLD
INCLUDED ON PART I, LINE 5

STATEMENT 2

COST OF GOODS SOLD

1.	INVENTORY AT BEGINNING OF YEAR		3,674,780
2.	MERCHANDISE PURCHASED.		
3.	COST OF LABOR.		
4.	MATERIALS AND SUPPLIES	19,446,558	
5.	OTHER COSTS.		
6.	ADD LINES 1 THROUGH 5		23,121,338
7.	INVENTORY AT END OF YEAR		2,517,974
8.	COST OF GOODS SOLD (LINE 6 LESS LINE 7) . .		20,603,364

FORM 199 GROSS AMOUNT FROM SALE OF ASSETS STATEMENT 3

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
GAIN ON SALE OF SECURITIES			PURCHASED	
	5,070,953.	0.	0.	4,935,896.
LOSS ON SALE OF CAPITAL ASSETS			PURCHASED	
	60,071.	0.	0.	13,674.
TOTAL TO FORM 199, PAGE 2, LN 6	5,131,024.	0.	0.	4,949,570.

FORM 199 OTHER INCOME STATEMENT 4

DESCRIPTION	AMOUNT
GRANTS & CONTRACTS	25,186,157.
CONFERENCES & WORKSHOPS	3,438,400.
UNIV. PROGRAMS SUPPORT	2,688,783.
SERVICE FEES	6,945,066.
MISCELLANEOUS	1,109,470.
TOTAL TO FORM 199, PART II, LINE 7	39,367,876.

FORM 199

CASH CONTRIBUTIONS, GIFTS, GRANTS
AND SIMILAR AMOUNTS PAID

STATEMENT 5

ACTIVITY CLASSIFICATION: STUDENT GRANTS & SCHOLARSHIPS

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
CALIFORNIA POLYTECHNIC STATE UNIVERSITY	ONE GRAND AVENUE - SAN LUIS OBISPO, CA 93407	RELATED TAX-EXEMPT ENTITY	571,354.

TOTAL FOR THIS ACTIVITY	571,354.
-------------------------	----------

ACTIVITY CLASSIFICATION: SUPPORT OF UNIVERSITY

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
CALIFORNIA POLYTECHNIC STATE UNIVERSITY	ONE GRAND AVENUE - SAN LUIS OBISPO, CA 93407	RELATED TAX-EXEMPT ENTITY	1,436,237.

TOTAL FOR THIS ACTIVITY	1,436,237.
-------------------------	------------

ACTIVITY CLASSIFICATION: SUBAWARDS UNDER SPONSORED PROGRAMS GRANTS

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
SRI INTERNATIONAL	333 RAVENWOOD AVENUE - MENLO PARK, CA 94025	NONE	41,319.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
THE MIRIAM HOSPITAL	164 SUMMIT AVENUE - PROVIDENCE, RI 02906	NONE	718,897.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
PRCI	3141 FAIRVIEW PARK DR, SUITE 525 - FALLS CHURCH, VA 22042	NONE	115,655.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
COLUMBIA UNIVERSITY MEDICAL CENTER	DEPT OF MEDICINE 630 WEST 168TH STREET - NEW YORK, NY 10032	NONE	145,949.
<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
CSU, CHICO	CSU CHICO FOUNDATION - CHICO, CA 95929	NONE	270,741.
<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
ELECTRICORE	27943 SMYH DRIVE, SUITE 105 - VALENCIA, CA 91355	NONE	5,000.
<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
TYVAK	15265 ALTON PARKWAY, SUITE 200 - IRVINE, CA 92318	NONE	455,024.
<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
UNIVERSITY OF NORTH CAROLINA	104 AIRPORT DRIVE, SUITE 2200 - CHAPEL HILL, NC 27599	NONE	25,681.
<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
CSU, SACRAMENTO	6000 J STREET MODOC HALL 2003 - SACRAMENTO, CA 95819	NONE	28,670.
<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
SANTA MARIA JOINT HIGH SCHOOL	2560 SKYWAY DRIVE - SANTA MARIA, CA 93455	NONE	8,120.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
HARTNELL COLLEGE	411 CENTRAL AVENUE - SALINAS, CA 93901	NONE	12,242.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
UNIVERSITY OF TEXAS, AUSTIN	101 E. 27TH ST NOA BLDG 5 300 MAIL A90000 - AUSTIN, TX 78712	NONE	18,485.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
SAN JOSE STATE UNIVERSITY RESEARCH FOUND	201 N. 4TH ST, 4TH FLOOR - SAN JOSE, CA 95112	NONE	80,794.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
RUTGERS, STATE UNIVERSITY OF NEW JERSEY	3 RUTGERS PLAZA ASB 111 - NEW BRUNSWICK, NJ 08901	NONE	10,575.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
OREGON HEALTH & SCIENCE UNIVERSITY	3181 SW SAN JACKSON PARK RD - PORTLAND, OR 97239	NONE	15,200.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
NAZARETH COLLEGE OF ROCHESTER	4245 EAST AVENUE - ROCHESTER, NY 14618	NONE	14,663.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
WESTFIELD STATE COLLEGE	577 WESTERN AVENUE - WESTFIELD, MA 01086	NONE	11,768.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
SANTA MARIA BONITA SCHOOL DISTRICT	708 S. MILLER STREET - SANTA MARIA, CA 93454	NONE	30,000.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
DIGITALGLOBE	1300 W. 120TH AVENUE - WESTMINSTER, PA 80234	NONE	75,000.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
CLEMSON UNIVERSITY	300 BRAQCKETT HILL - CLEMSON, SC 29634	NONE	20,036.

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
VARIOUS	VARIOUS - VARIOUS, CA 93407	NONE	119,250.

TOTAL FOR THIS ACTIVITY 2,223,069.

ACTIVITY CLASSIFICATION: SUPPORT OF UNIVERSITY AUXILIARIES

<u>DONEES NAME</u>	<u>DONEES ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
CAL POLY STATE UNIVERSITY FOUNDATION	ONE GRAND AVENUE - SAN LUIS OBISPO, CA 93407	CPF IS A SISTER AUXILIARY TO CPSU	546,082.

TOTAL FOR THIS ACTIVITY 546,082.

TOTAL INCLUDED ON FORM 199, PART II, LINE 9 4,776,742.

FORM 199	NONCASH CONTRIBUTIONS, GIFTS, GRANTS AND SIMILAR AMOUNTS PAID	STATEMENT 6
----------	--	-------------

ACTIVITY CLASSIFICATION: SUPPORT OF UNIVERSITY

NAME OF DONEE	ADDRESS OF DONEE	RELATIONSHIP	AMOUNT
CALIFORNIA POLYTECHNIC STATE UNIVERSITY	ONE GRAND AVENUE - SAN LUIS OBISPO, CA 93407	RELATED TAX-EXEMPT ENTITY	557,110.

DATE OF GIFT	BOOK VALUE OF GIFT	PROPERTY DESCRIPTION	METHOD USED TO DETERMINE BOOK VALUE
06/30/17	557,110.	ASSET TRANSFER TO UNIVERSITY	BOOK

TOTAL FOR THIS ACTIVITY	557,110.
-------------------------	----------

TOTAL INCLUDED ON FORM 199, PART II, LINE 9	557,110.
---	----------

FORM 199	COMPENSATION OF OFFICERS, DIRECTORS AND TRUSTEES	STATEMENT 7
----------	--	-------------

NAME AND ADDRESS	TITLE AND AVERAGE HRS WORKED/WK	COMPENSATION
CYNTHIA VILLA 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	CHAIR 4.00	0.
NICK PETTIT 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	VICE CHAIR 4.00	0.
ANDREW THULIN 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	SECRETARY/TREASURER 4.00	0.
PHIL BARLOW 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
ALEXANDRA BONESTROO 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	STUDENT DIRECTOR 4.00	0.

STEVE HARDING 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
PAUL HOOVER 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
KEITH HUMPHREY 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
KIMI IKEDA 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
JUSTIN RAJAH 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	STUDENT DIRECTOR 4.00	0.
CYRUS RAMEZANI 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
KIM SHOLLENBERGER 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
MARY VERDIN 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	DIRECTOR 4.00	0.
LORLIE LEETHAM 1 GRAND AVE BLDG 15 SAN LUIS OBISPO, CA 93407	EXECUTIVE DIRECTOR 40.00	272,123.
TOTAL TO FORM 199, PART II, LINE 11		<u>272,123.</u>

FORM 199	OTHER EXPENSES	STATEMENT	8
DESCRIPTION			
	AMOUNT		
CONTRACT & GRANT IDC EX		3,754,067.	
SUPPLIES & EQUIPMENT		3,617,538.	
LIVESTOCK EXPENSE		899,617.	
OPERATING EXPENSES-TECH PARK UBI		84,860.	
DEPRECIATION-TECH PARK UBI		158,251.	
INTEREST-TECH PARK UBI		54,465.	
OPERATING EXPENSES-TECH PARK EXCLUDED		81,695.	
DEPRECIATION-TECH PARK EXCLUDED		152,350.	

INTEREST-TECH PARK EXCLUDED	52,434.
OTHER EXPENSE-TECH PARK UBI	170.
OTHER EXPENSE-TECH PARK EXCLUDED	163.
OPERATING EXPENSES-BELLA MONTANA/GRAND AVE/MISC	58,751.
DEPRECIATION-BELLA MONTANA/GRAND AVE/MISC	50,238.
OTHER EXPENSE-BELLA MONTANA/GRAND AVE/MISC	0.
DIRECT EXPENSES OF FUNDRAISING EVENTS	232,789.
PENSION PLAN CONTRIBUTIONS	1,749,578.
OTHER EMPLOYEE BENEFITS	6,075,565.
MANAGEMENT FEES	2,559.
LEGAL FEES	97,940.
ACCOUNTING FEES	133,301.
INVESTMENT MANAGEMENT FEES	115,271.
OTHER PROFESSIONAL FEES	6,417,240.
ADVERTISING AND PROMOTION	399,167.
OFFICE EXPENSES	326,565.
INFORMATION TECHNOLOGY	444,026.
ROYALTIES	519,281.
TRAVEL	1,616,294.
CONFERENCES AND CONVENTIONS	194,505.
INSURANCE	239,056.
ALL OTHER EXPENSES	5,714,234.
TOTAL TO FORM 199, PART II, LINE 17	33,241,970.

FORM 199	OTHER INVESTMENTS	STATEMENT	9
DESCRIPTION	BEG. OF YEAR	END OF YEAR	
ALTERNATIVE INVESTMENTS & OTHER	426,707.	4,223.	
CD'S	2,529,892.	0.	
PUBLICLY TRADED SECURITIES	68,757,963.	75,840,574.	
TOTAL TO FORM 199, SCHEDULE L, LINE 9	71,714,562.	75,844,797.	

FORM 199	OTHER ASSETS	STATEMENT	10
DESCRIPTION	BEG. OF YEAR	END OF YEAR	
PLEDGES AND GRANTS RECEIVABLE	14,345,026.	14,750,928.	
PREPAID EXPENSES AND DEFERRED CHARGES	977,553.	629,672.	
OTHER ASSETS	638,000.	638,000.	
TOTAL TO FORM 199, SCHEDULE L, LINE 12	15,960,579.	16,018,600.	

FORM 199	OTHER LIABILITIES	STATEMENT 11
DESCRIPTION	BEG. OF YEAR	END OF YEAR
DEFERRED REVENUE	13,473,010.	13,605,479.
ACTUARIAL ANNUITY LIABILITIES	1,290,990.	1,286,841.
POSTEMPLOYMENT BENEFIT OBLIGATIONS	5,631,841.	5,683,453.
PENSION LIABILITY	12,199,036.	16,233,426.
GASB PENSION LIABILITY ADJUSTMENT	-154,919.	-4,526,711.
DEPOSITS HELD FOR OTHERS	3,506,267.	3,936,851.
TOTAL TO FORM 199, SCHEDULE L, LINE 18	35,946,225.	36,219,339.

FORM 199	INCOME RECORDED ON BOOKS THIS YEAR NOT INCLUDED IN THIS RETURN	STATEMENT 12
DESCRIPTION		AMOUNT
NET UNREALIZED GAINS/LOSSES ON INVESTMENTS		3,816,164.
CHANGE IN VALUE OF SPLIT INTEREST AGREEMENTS		137,898.
TOTAL TO FORM 199, SCHEDULE M-1, LINE 7		3,954,062.